MATB-406US PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

To Be Assigned

Applicant: Filed:

Kiyotaka Mori Herewith

Title:

COMBINATION INSULATOR AND ORGANIC SEMICONDUCTOR FORMED

FROM SELF-ASSEMBLING BLOCK CO-POLYMERS

TC/A.U.: Examiner: To Be Assigned

Docket No.:

To Be Assigned MATB-406US

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Reference JP 2003-92411 A is not in the English language, but an English abstract thereof is attached to the copy of the reference submitted herewith.

MATB-406US **PATENT**

This Information Disclosure Statement is being filed concurrently with the abovereferenced application.

Respectfully submitted,

Attorney(s) for Applicant(s)

KRC/tmb

Enclosures: PTO Form 1449

(3) References

Dated: March 26, 2004

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

EXPRESS MAIL

Mailing Label Number:

EL 985 026 955 US

Date of Deposit:

March 26, 2004

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for Form 1449A/PTO	Complete if Known		
	Application Number	To Be Assigned	
INFORMATION DISCLOSURE	Filing Date	Herewith	
STATEMENT BY APPLICANT (Use as many sheets as necessary)	First Named Inventor	Kiyotaka Mori	
	Art Unit	To Be Assigned	
	Examiner Name	To Be Assigned	
SHEET 1 of 2	Attorney Docket No.	MATB-406US	
II C D	TENT DOCUMENTS		

			U.S. PATE	NT D	OCUMENTS			
		Document Number					Pages, Columns, Lines, Where	
Examiner Cite Initials* No.	Cite No. ¹	Number - Kind Code ^{2 (If known)} Publicatio (MM-DD-				of Patentee or of Cited Document	Relevant Passages or Releva Figures Appear	
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-		-				_
		US-						
		US-					<u>-</u>	
		US-						
		US-						
_		US-						
		US-	-					
		US-		Ť				
		US-						
		US-						
		US-				-		
		US-						
		US-				· · · · · ·		
		F	OREIGN PA	TENT	DOCUMENT	rs		
Examiner C Initials* N	Cite	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ^{5 (If known)}			Name of Patentee of			
	No.1			Publication Date (MM-DD-YYYY)		Applicant of Cited Document	Passages or Relevant Figures Appear	Т6
	JP 2000-392411 A		03/28/2003		Lucent Technol Inc.	Entire Document		
								一
								盲
					-			盲
Examiner Signature						Date Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

3Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the CISPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application for the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b (08-03) (AW 10/2003) Approved for use through 6/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for Form 1449B/PTO	Complete if Known		
	Application Number	To Be Assigned	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Filing Date	Herewith	
	First Named Inventor	Kiyotaka Mori	
	Art Unit	To Be Assigned	
	Examiner Name	To Be Assigned	
SHEET 2 of 2	Attorney Docket No.	MATB-406US	

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
		AIST Announces World's Thinnest Vertical-Type Double-Gate MOSFET Using Newly Discovered Process, AIST Today International Edition No. 8, pp. 10-12, 2003.	
		MASSIMO LAZZAIR ET AL., Block Copolymers as a Tool for Nanomaterial Fabrication, Advanced Materials 15, No. 19, pp. 1583-1594, October 2, 2003.	
Examiner Signature		Date Considered	

'Applicant's unique citation designation number (optional).

'Applicant's unique citation designation number (optional).

'Applicant's unique citation designation number (optional).

'Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this bytelon, should be contracted. comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.